

EXHIBIT 3



**UNITED STATES DISTRICT COURT
FOR THE DISTRICT OF MASSACHUSETTS**

IN RE PHARMACEUTICAL INDUSTRY
AVERAGE WHOLESALE PRICE
LITIGATION

MDL No. 1456

CIVIL ACTION: 01-CV-12257-PBS

Judge Patti B. Saris

THIS DOCUMENT RELATES TO
01-CV-12257-PBS AND 01-CV-339

**PLAINTIFFS' SECOND REQUEST FOR PRODUCTION OF DOCUMENTS TO
AVENTIS, ABBOTT, AMGEN, BMS, JOHNSON & JOHNSON, GSK, HOFFMAN,
IMMUNEX AND SCHERING-PLOUGH**

Pursuant to Rules 26 and 34 of the Federal Rules of Civil Procedure and the Local Rules of the District Court for the District of Massachusetts, Plaintiffs hereby request that you produce the documents requested herein within thirty (30) days.

I. DEFINITIONS

1. "Document(s)" is used in the broadest possible sense and means without limitation, any written, printed, typed, photostated, photographed, recorded or otherwise reproduced or stored communication or representation, whether comprised of letters, words, numbers, data, pictures, sounds or symbols, or any combination thereof. This definition includes copies or duplicates of documents contemporaneously or subsequently created which have any non-conforming notes or other markings. Without limiting the generality of the foregoing,



“document” includes, but is not limited to, correspondence, memoranda, notes, records, letters, envelopes, telegrams, messages, studies, analyses, contracts, agreements, working papers, accounts, analytical records, reports and/or summaries of investigations, trade letters, press releases, comparisons, books, calendars, diaries, articles, magazines, newspapers, booklets, brochures, pamphlets, circulars, bulletins, notices, drawings, diagrams, instructions, notes of minutes of meetings or of other communications of any type, including inter-office and intra-office communications, electronic mail/messages and/or “e-mail,” electronically stored telephone messages and/or “voice-mail,” questionnaires, surveys, charts, graphs, photographs, phonograph recordings, films, tapes, disks, data cells, print-outs of information stored or maintained by electronic data processing or word processing equipment, all other data compilations from which information can be obtained (by translation, if necessary, by you through detection devices into usable form), including, without limitation, electromagnetically sensitive storage media such as floppy disks, hard disks and magnetic tapes and any preliminary versions, as well as drafts or revisions of any of the foregoing, whether produced or authored by you or anyone else.

2. “All documents” means every document and every non-identical copy known to you and every such document or writing which you can locate or discover by reasonably diligent efforts, including, but not limited to, documents now in the possession, custody or control of Defendant, its merged or acquired predecessors, its former and present directors, officers, counsel, agents, employees and/or persons acting on its behalf.

3. The term “Defendant” refers to any of the Defendants to whom this is directed, its officers, directors, affiliates, employees, representatives and agents (whether actual, apparent or otherwise).

4. “You” or “Your” means the Defendant responding to these Requests and any of its subsidiaries, divisions, affiliates, officers, directors, employees or agents, including, but not limited to, attorneys and accountants.



5. "Person" shall refer to natural persons, firms, joint owners, associations, companies, partnerships, joint ventures, corporations, trusts, estates, agencies, departments or bureaus (governmental or private), and any other form of business, governmental or juridical person or legal entity.

6. "Concerning" means relating to, referring to, in connection with, pertaining to, describing, discussing, analyzing, reflecting, summarizing, evidencing, embodying or constituting.

7. "Meeting" means any discussion between two or more persons either in person or telephonically.

8. "Communication" and "communications" are used in a comprehensive sense, and shall mean and include every conceivable manner or means of disclosure, transfer or exchange of oral or written information (in the form of facts, ideas, inquiries or otherwise) between one or more persons or entities including, but not limited to, writings, documents, inter- and intra-office memoranda, correspondence, meetings, conferences, conversations, and/or agreements, whether face-to-face, by telephone, by mail, by telecopier, by telex, by computer or otherwise.

9. "AMCC" means the Amended Master Consolidated Class Action Complaint filed in connection with MDL Docket No. 1456, Civil Action No. 01-12257-PBS, in the United States District Court for the District of Massachusetts.

10. "AMP" or "Average Manufacturer Price" shall have the meaning set forth in 42 U.S.C. § 1396r-8(k)(1).

11. "And" and "or" shall be construed either disjunctively or conjunctively as necessary to bring within the scope of the request any information that might otherwise be construed to be outside its scope.

12. "Auditor" means any independent entity that provides an independent, third-party audit review of any aspect of medical coverage or services provided by any health plan or health and welfare fund to any of its participants or beneficiaries.



13. “AWP” or “Average Wholesale Price” means the price for drugs as periodically published by one or more pharmaceutical industry compendia, including the Drug Topics Red Book (the “*Red Book*”), American Druggist First Databank Annual Directory of Pharmaceuticals (“*First DataBank*”), Essential Directory of Pharmaceuticals (the “*Blue Book*”) and Medi-Span’s Master Drug Database (“*Medi-Span*”).

14. “Benefit Consultant” means any person or entity that provides information, counsel or advice to any health plan or health and welfare fund regarding any medical benefit or service provided by any health plan or health and welfare fund to any participant or beneficiary.

15. “Best Price” shall have the meaning ascribed to that term pursuant to 42 U.S.C. § 1396r-8(c)(1)(C).

16. “CMS” shall mean Centers for Medicare and Medicaid Services.

17. “EAC” or “Estimated Acquisition Cost” shall have the meaning ascribed to that term pursuant to 42 C.F.R. § 447.301.

18. “Government payor” means any federal or state government entity or program that reimburses Providers for drugs or health care services, including but not limited to CMS, Medicare, and Medicaid.

19. “Independent Practice Association” means any organized group of providers whose members provide health care to any participant or beneficiary.

20. “MAC” or “Maximum Allowable Cost” shall have the meaning ascribed to that term pursuant to 42 C.F.R. § 442.332.

21. “Manufacturer” means a company that manufactures pharmaceutical products, including, without limitation, subject drugs.

22. “MCC” means the Master Consolidated Class Action Complaint filed in connection with MDL Docket No. 1456, Civil Action No. 01-12257-PBS, in the United States District Court for the District of Massachusetts.

23. “PBM” means pharmacy benefit manager.



24. The terms “Participant” and “Beneficiary” means a person for whom a health plan or health and welfare fund provides any medical or health insurance benefit.

25. “Person,” as defined in Local Rule 26. 5(c)(6), means any natural person or any business, legal, or governmental entity or association.

26. “Price” means any payment made for a drug with or without discounts, rebates or other incentives affecting the cost of the drug.

27. “Private payor” means any non-government entity or program that reimburses Providers for drugs or health care services, including but not limited to health insurance companies, health maintenance organizations, preferred provider organizations, self insurance plans, health plans, unions, and welfare and benefit funds.

28. “Provider” means any physician or entity that provides health care to any Participant or Beneficiary.

29. “Relating” means in any way concerning or referring to, consisting of, involving, regarding or connected with the subject matter of the request.

30. “Subject drug” or “subject drugs” means any of the drugs on Exhibit A.

31. “Third Party Administrator” means any entity that provides administrative services to any health plan or health and welfare fund relating to any medical benefit provided to any participant or beneficiary.

32. “WAC” means wholesale acquisition cost or the list prices for sales by manufacturers to wholesalers.

33. “Wholesaler” means any entity that purchase subject drugs from a manufacturer and resells such drugs to any other entity.

II. RULES OF CONSTRUCTION

1. All/Each – The terms “all” and “each” shall be construed as meaning either all and each as necessary to bring within the scope of the discovery request all responses that might otherwise be construed to be outside its scope.



2. And/Or – The connectives “and” and “or” shall be construed either disjunctively and conjunctively as necessary to bring within the scope of the discovery request all responses that might otherwise be construed to be outside its scope.

3. The use of the singular form of any word shall include the plural and vice versa.

4. The masculine gender includes the feminine.

III. INSTRUCTIONS

1. A document shall be deemed to be in your control if you have the right to secure the document or copy thereof from another person or public or private entity having possession or custody thereof. If any otherwise responsive document was, but is no longer, in existence or in your possession, custody or control, or has been lost, discarded or destroyed, said document shall be identified as completely as possible including, but not limited to, the following information:

- (a) the date of disposal or disposition from your possession, custody or control;
- (b) the manner of disposal or disposition from your possession, custody or control;
- (c) the reason for disposal or disposition from your possession, custody or control;
- (d) the person authorizing disposal or disposition from your possession, custody or control;
- (e) the document’s current or last known custodian;
- (f) the circumstances surrounding the document’s disposition from your possession, custody or control;
- (g) the generic category of the document, *e.g.*, memo, letter, computer print-out, etc.;
- (h) the type(s) of information contained in the document; and



(i) the identity of all persons having knowledge or who had knowledge of the contents of the document.

2. Unless otherwise indicated, the documents to be produced include all documents prepared, sent, dated or received, or those which otherwise came into existence at anytime during the relevant period described herein.

3. (a) Where an objection is made to any document request under Fed. R. Civ. P. 34, the objection shall state with specificity all grounds. Any ground not stated in an objection within the time provided by the Federal Rules of Civil Procedure, or any extensions thereof, shall be waived.

(b) Where a claim of privilege is asserted in objecting to any document demand, or sub-part thereof, and an answer is not provided on the basis of such assertion:

(i) the attorney asserting the privilege shall in the objection to the document demand, or sub-part thereof, identify the nature of the privilege (including work product) that is being claimed and if the privilege is being asserted in connection with a claim or defense governed by state law, indicate the state's privilege rule being invoked; and

(ii) the following information shall be provided in the objection, unless divulgence of such information would cause disclosure of the allegedly privileged information:

(A) for documents: (1) the type of document; (2) general subject matter of the document; (3) the date of the document; and, (4) such other information as is sufficient to identify the document for a subpoena duces tecum, including, where appropriate, the author of the document, the addressee of the document, and, where not apparent, the relationship of the author and addressee to each other;

(B) for oral communications: (1) the name of the person making the communication and the names of persons present while the communication was made and, where not apparent, the relationship of the persons present to the person



making the communication; (2) the date and the place of communication; and, (3) the general subject matter of the communication.

4. Notwithstanding the assertion of any objection to production, any document to which an objection is raised containing non-objectional subject matter which is relevant and material to a request must be produced, but that portion of the document for which the objection is asserted may be withheld or redacted provided that the above-requested information is furnished,

5. This request is continuing and all documents coming into your possession, custody or control which you would have been required to produce had they been available at an earlier time shall be produced forthwith in accordance with the Federal Rules of Civil Procedure.

6. Each document requested herein is requested to be produced in its entirety and without deletion or excisions, regardless of whether you consider the entire document to be relevant or responsive to these requests. If you have redacted any portion of a document, stamp the word "redacted" on each page of the document which you have redacted. Redactions should be included on the privilege log described in Instruction 3.

7. The fact that a document is produced by one defendant does not relieve any other defendant of the obligation to produce his or its copy of the same document, even if the two documents are identical in all respects.

8. In producing documents, you are requested to produce the original of each document requested together with all non-identical copies and drafts of that document. If the original of any document cannot be located, a copy shall be provided in lieu thereof, and shall be legible and bound or stapled in the same manner as the original.

9. All documents shall be produced in the file folder, envelope or other container in which the documents are kept or maintained by you. If, for any reason, the container cannot be produced, produce copies of all labels or other identifying marks.



10. Documents shall be produced in such fashion as to identify the department, branch or office in whose possession it was located and, where applicable, the natural person in whose possession it was found and the business address of each document's custodian(s).

11. Documents attached to each other should not be separated.

12. Documents not otherwise responsive to this discovery request shall be produced if such documents mention, discuss, refer to, or explain the documents which are called for by this discovery request, or if such documents are attached to documents called for by this discovery request and constitute routing slips, transmittal memoranda, or letters, comments, evaluations or similar materials.

IV. RELEVANT TIME PERIOD

The relevant period of these document requests, unless otherwise indicated, shall be from January 1, 1991, to the date of production and shall include all documents and information which relate in whole or in part to such period, or to events or circumstances during such period, even though dated, prepared, generated or received prior or subsequent to that period.

V. REQUESTS FOR PRODUCTION

REQUEST FOR PRODUCTION NO. 1:

For the period 1991 to the present, all documents relating to or reflecting any definition or meaning of AWP.

RESPONSE:

REQUEST FOR PRODUCTION NO. 2:

For the period 1991 to the present, all documents that reflect, discuss, memorialize, or otherwise relate to the setting of reimbursement or payment rates for any subject drug.



RESPONSE:

REQUEST FOR PRODUCTION NO. 3:

For the period 1991 to the present, all documents that you relied upon in setting the price for any subject drug.

RESPONSE:

REQUEST FOR PRODUCTION NO. 4:

For the period 1991 to the present, all minutes from meetings where reimbursement pricing or payment for subject drugs was discussed, including meetings where reimbursement or payment rates was discussed.

RESPONSE:

REQUEST FOR PRODUCTION NO. 5:

For the period 1991 to the present, all documents relating to or reflecting the costs to providers of any subject drug.

RESPONSE:

REQUEST FOR PRODUCTION NO. 6:

For the period 1991 to the present, all documents relating to or reflecting the amounts you reimburse providers for any subject drug.



RESPONSE:

REQUEST FOR PRODUCTION NO. 7:

For the period 1991 to the present, all documents relating to or reflecting any differences between the costs to providers of any subject drug and the amounts they receive for reimbursement for any subject drug.

RESPONSE:

REQUEST FOR PRODUCTION NO. 8:

All communications between you and providers or pharmacies relating to reimbursement, payment or prices of any subject drug.

RESPONSE:

REQUEST FOR PRODUCTION NO. 9:

For the period 1991 to the present, all documents relating to any requests by you for any information concerning the reimbursement, pricing or payment for any subject drug.

RESPONSE:

REQUEST FOR PRODUCTION NO. 10:

For the period 1991 to the present, all documents concerning the practice of using drug pricing information published by any publisher for any subject drug.



RESPONSE:

REQUEST FOR PRODUCTION NO. 11:

For the period 1991 to the present, all documents created by or received from any publisher, including but not limited to drug pricing information, and communications, memoranda, contracts or agreements between you and any publisher regarding any subject drug.

RESPONSE:

REQUEST FOR PRODUCTION NO. 12:

For the period 1991 to the present, all documents relating or referring to AWP, including documents that relate or refer to the relationship between any price and AWP for any subject drug.

RESPONSE:

REQUEST FOR PRODUCTION NO. 13:

For the period 1991 to the present, all documents relating or referring to any difference between an AWP and an actual payment by you or anyone else for any subject drug.

RESPONSE:



REQUEST FOR PRODUCTION NO. 14:

For the period 1991 to the present, to the extent not otherwise produced, all documents concerning AWP, AMP, WAC, MAC, EAC, Best Price or any other drug pricing, payment or reimbursement information for any subject drug.

RESPONSE:

REQUEST FOR PRODUCTION NO. 15:

All documents relating or referring to your contractual relationships with PBMs, auditors, wholesalers, independent practice associations, pharmacies or providers insofar as they cover subject drugs, including, without limitation, master agreements, addenda, schedules, attachments, requests for proposal, responses to requests for proposal and correspondence.

RESPONSE:

REQUEST FOR PRODUCTION NO. 16:

Documents sufficient to identify all persons involved in negotiation of contractual relationships with PBMs, wholesalers, manufacturers, independent practice associations, pharmacies or providers insofar as they cover any subject drug.

RESPONSE:

REQUEST FOR PRODUCTION NO. 17:

For the period 1991 to the present, all documents relating to any profit analysis you have performed or received relating to your reimbursement or payment for any subject drug.



RESPONSE:

REQUEST FOR PRODUCTION NO. 18:

For the period 1991 to the present, all documents concerning any internal or external, formal or informal, investigations, studies, research, assessments, analyses, reviews, or audits regarding drug pricing or reimbursement or payment amounts or rates for any subject drug.

RESPONSE:

REQUEST FOR PRODUCTION NO. 19:

For the period 1991 to the present, all filings with any state or federal government entity made by you or on your behalf that refer or relate to AWP.

RESPONSE:

REQUEST FOR PRODUCTION NO. 20:

For the period 1991 to the present, all documents created by or received from CMS, United States Department of Health and Human Services, the Health and Human Service Office of the Inspector General, the General Accounting Office, Congress or any other federal institution, agency, department, or office regarding the pricing of prescription drugs.

RESPONSE:



REQUEST FOR PRODUCTION NO. 21:

For the period 1991 to the present, all documents provided to CMS, United States Department of Health and Human Services, and Department of Health and Human Services Office of the Inspector General, the General Accounting Office, Congress, or any other federal institution, agency, department, or office regarding the pricing of any subject drug.

RESPONSE:

REQUEST FOR PRODUCTION NO. 22:

All documents produced by you in any litigation, government investigation or inquiry related to the use of AWP in Medicare, Medicaid or private reimbursement.

RESPONSE:

REQUEST FOR PRODUCTION NO. 23:

All current and historical organizational charts for all of your departments.

RESPONSE:



DATED: December 19, 2003

Respectfully submitted,

By 

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CERTIFICATE OF SERVICE

I hereby certify that I, Steve W. Berman, an attorney, caused a true and correct copy of the foregoing Plaintiffs' Second Request for Production of Documents to Aventis, Abbott, Amgen, BMS, Johnson & Johnson, GSK, Hoffman, Immunex and Schering-Plough to be served on all counsel of record electronically on December 19, 2003, pursuant to Section D of Case Management Order No. 2.

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